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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****Patent**

Patent No.:  
Issue Date:  
Application No.: 10/806,529  
Filing Date: 03/24/2004  
First Named Inventor: CHAN  
Assignee: O2 Micro International Ltd.  
Entitled: Low Power CD-ROM Player for Portable Computers

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST  
(REVOCATION OF PRIOR POWERS)  
and  
CHANGE OF CORRESPONDENCE ADDRESS**

As assignee of record of the entire interest of the above identified

☒ application,  
☐ patent,

**REVOCATION OF PRIOR POWERS OF ATTORNEY**

☒ I hereby revoke all previous powers of attorney given in the above-identified application/patent.

**NEW POWER OF ATTORNEY**

☒ I hereby appoint the practitioners associated with the customer Number: 41066

☒ Please change the correspondence address for the above-identified application to:  
The address associated with Customer Number: 41066

I am the:

☒ Assignee of record of the entire interest. *Statement under 37 CFR 3.73(b) is below.*

**CERTIFICATE UNDER 37 CFR 3.73(b)**

O2 MICRO INTERNATIONAL LTD., a Cayman Islands corporation, certifies that it is the assignee of the entire right, title and interest in the patent identified above by virtue of:

☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in The United States Patent and Trademark Office at  
Reel 9402 . Frame 0221 .

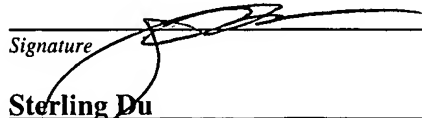
☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application/patent identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) avers that the undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

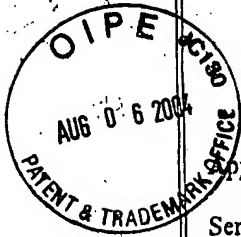
July 21, 2008  
Date

  
Signature

Sterling Du  
Typed or Printed Name

CEO  
Title

#6



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. Of: CHAN et al )  
 Serial No.: 09/136,207 )  
 Filed: August 19, 1998 )  
 For: LOW POWER CD-ROM PLAYER FOR... )  
 Group: 2752 )  
 Examiner: T. Dinh )  
 DOCKET: O2 MICRO 98.01 )

FAX RECEIVED

JUL 27 2000

The Honorable Commissioner of Patents & Trademarks  
 Washington, D.C. 20231

PETITIONS OFFICE

**PETITION UNDER 37 C.F.R. 1.183**  
**TO WAIVE REQUIREMENT OF 37 C.F.R. 3.81**  
**VIA TELEFAX**

Dear Sir:

Applicants hereby petition to correct the Assignee's Residence Data which was recorded erroneously by the Patent Office on the Notice of Recordation of Assignment Document and thereafter entered incorrectly on the Issue Fee Transmittal Form PTOL-85B, which was filed with the Patent Office on July 11, 2000. The address of the Assignee, O2 MICRO INTERNATIONAL, LTD., on the Issue Fee i.e., "Grand Caymen, CANADA BWI" should be -- Grand Cayman, Cayman Islands, BWI --

The following documents accompany this Petition in support thereof:

1. Copy of corrected Issue Fee Transmittal Form PTOL-85B
2. Copy of the executed Assignment and Record Cover Sheet;

HAYES, SOLOWAY,  
 HENNESSEY, GROSSMAN  
 & HAGE, P.C.  
 175 CANAL STREET  
 MANCHESTER, NH  
 03101 2335 U S A  
 603-668-1400

10/16/2000 LBIBBS 0000003 081391 09136207

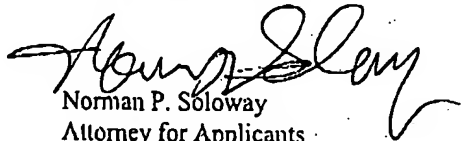
01 FC:122 130.00 CH

3. Copy of the Notice of Recordation of Assignment Document showing Patent Office error of the address of Assignee, recorded on Reel 9402/Frame 0221; and
4. Accompanying request to Correct the Recordation of Assignment Document.

It is respectfully requested that the Commissioner grant this Petition.

We believe there is no fee involved with this Petition as this is the result of a Patent Office error, however, in the event there are any additional fees are payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,



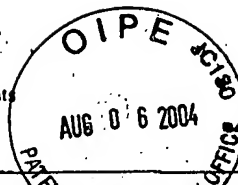
Norman P. Soloway  
Attorney for Applicants  
Reg. No. 24,315

cm

HAYES, SOLOWAY,  
HENNESSEY, GROSSMAN  
& HAGE, P.C.  
175 CANAL STREET  
MANCHESTER, NH  
03101-2335 U.S.A.  
603-668-1400

## PART B—ISSUE FEE TRANSMI

Complete and mail this form, together with appropriate fees, to: **Box ISSUE FEE**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**



**MAILING INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE. Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Issue Fee Receipt, the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

**CURRENT CORRESPONDENCE ADDRESS** (Note: Legibly mark-up with any corrections or use Block 1)

LM12/0508

Edmund P. Pfleger  
 P.O. BOX 3042  
 Tucson, Arizona 85702-3042

Note: The certificate of mailing below cannot be used for domestic mailings of the Issue Fee Transmittal. With certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

## Certificate of Mailing

I hereby certify that this Issue Fee Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

CAROL McCLELLAND (Depositor's name)

Carol McClelland (Signature)

July 11, 2000 (Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
09/136,207	08/19/98	042	DINH, T	2752 05/08/00
First Named Applicant: CHAN, 35 USC 154(b), term ext. = 0 Days.				

**TITLE OF INVENTION** LOW POWER CD-ROM PLAYER FOR PORTABLE COMPUTER

ATTYS DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 2117	369-033.000	M11	UTILITY	YES	\$605.00	08/08/00

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Hayes, Soloway, Hennessey,  
 Grossman & Hager, P.C.

2 \_\_\_\_\_  
 3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  
**PLEASE NOTE:** Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE O2 MICRO INTERNATIONAL, LTD.

(B) RESIDENCE (CITY & STATE OR COUNTRY)

Grand Cayman, Cayman Islands, BWI

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ Individual ☒ corporation or other private group entity ☐ government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

☒ Issue Fee

☒ Advance Order - # of Copies 5

4b. The following fees or deficiency in these fees should be charged to:

DEPOSIT ACCOUNT NUMBER 08-1391

(ENCLOSE AN EXTRA COPY OF THIS FORM)

Issue Fee

☐ Advance Order - # of Copies

The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

(Date)

7-11-00

NOTE: The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington D.C. 20231

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE

FORM PTO-1595

08-27-1998

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

(Rev. 6-93)

OMB No. 0031-0011 (exp. 4/94)

Tab settings v



100803475

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Regina Chan  
Sterling Du  
James Lam  
Aaron ReynosoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: August 18, 1998

## 2. Name and address of receiving party(ies)

Name: O2 Micro International Ltd.

Internal Address:

Street Address: Post Office Box 1794 GT

City: Grand Caymen State: Cayman  
Islands, BWI Zip:Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the applications: August 18, 1998

A. Patent Application No.(s)

B. Patent No.(s)

09/136207

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Donald E. Schreiber, Esq.

Internal Address:

Donald E. Schreiber A Professional Corporation

Street Address: Post Office Box 64150

City: Sunnyvale State: CA Zip: 94089-1323

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41)

\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Charge any deficiency or credit any surplus to Deposit Account number

19-0735

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald E. Schreiber

Name of Person Signing

Signature

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D. C. 20231

Date

10872/1998 JTB:KMB  
01 FEB 581

A S S I G N M E N T

We, Reginia Chan, Sterling Du, James Lam and Aaron Reynoso, whose citizenships, residences, and post office addresses are as stated below beneath our names, for One Dollar (\$1.00) in hand paid to us and for other good and valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to O, Micro International Ltd., a Cayman Island corporation having an office located at P.O. Box 1794 GT, Grand Cayman, Cayman Islands, B.W.I. (hereinafter referred to as Assignee), its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by us and disclosed in the application for Letters Patent of the United States entitled:

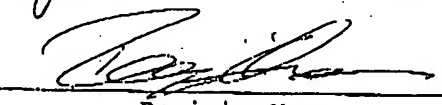
**LOW POWER CD-ROM PLAYER  
FOR PORTABLE COMPUTERS**

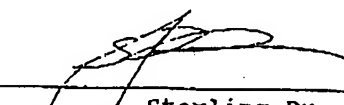
for which for which a United States Patent Application is filed herewith; and in and to any and all divisions, reissues, in and to all continuations and extensions thereof; and in and to all Letters Patent and all Convention and Treaty Rights of all kinds, in all countries throughout the world, for such subject matter.

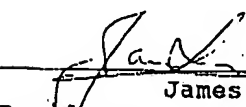
We hereby authorize and request that Patent Office Officials in the United States and any and all foreign countries issue any and all of the Letters Patent, when granted, to the Assignee, as the assignee of our entire right, title and interest in and to the same, for the sole use and enjoyment of said Assignee, its successors and assigns.

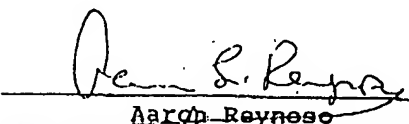
Further, we agree that we will communicate to said Assignee, or its representatives, any facts known to us respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisions, continuations, substitution, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said Assignee, make all rightful oaths and generally do everything necessary or desirable to aid said Assignee, its successors and assigns, in obtaining and enforcing proper protection for said invention in the United States and in any and all foreign countries.

In Testimony Whereof, we have hereunto set our hands this August day of 18th, 1998.

  
\_\_\_\_\_  
Reginia Chan  
Residence: United States  
of America  
Citizenship: United States  
of America  
Residence Address:  
5127 Shalimar Circle  
Fremont, California 94555

  
\_\_\_\_\_  
Sterling Du  
Residence: United States  
of America  
Citizenship: United States  
of America  
Residence Address:  
895 Lima Court  
Palo Alto, California  
94306

  
\_\_\_\_\_  
James Lam  
Residence: United States  
of America  
Citizenship: China  
Residence Address:  
34760 Swain Common  
Fremont, California 94555

  
\_\_\_\_\_  
Aaron Reynoso  
Residence: United States  
of America  
Citizenship: United States  
of America  
Residence Address:  
3761 ...

DECEMBER 04, 1998

DONALD E. SCHREIBER, ESQ.  
A PROFESSIONAL CORPORATION  
POST OFFICE BOX 64150  
SUNNYVALE, CA 94089-1323

PTAS

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



\*100803475A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION  
OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS  
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER  
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD  
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY  
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.  
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/19/1998

REEL/FRAME: 9402/0221  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHAN, REGINIA

DOC DATE: 08/18/1998

ASSIGNOR:

DU, STERLING

DOC DATE: 08/18/1998

ASSIGNOR:

LAM, JAMES

DOC DATE: 08/18/1998

ASSIGNOR:

REYNOSO, AARON

DOC DATE: 08/18/1998

ASSIGNEE:

02 MICRO INTERNATIONAL LTD.  
POST OFFICE BOX 1794 GT  
GRAND CAYMEN, CANADA BWI

→ Grand Cayman, Cayman Islands, BWI

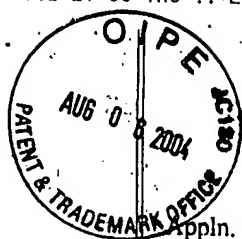
SERIAL NUMBER: 09136207

FILING DATE: 08/19/1998

PATENT NUMBER:

ISSUE DATE:





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. Of: CHAN et al

Serial No.: 09/136,207

Filed: August 19, 1998

For: LOW POWER CD-ROM PLAYER FOR ...)

Group: 2752

Examiner: T. Dinh

DOCKET: 02 MICRO 98.01

FAX RECEIVED

JUL 27 2000

PETITIONS OFFICE

US Patent and Trademark Office  
Assignment Division  
Box Assignments  
North Tower Building, Suite 10C35  
Washington, D.C. 20231

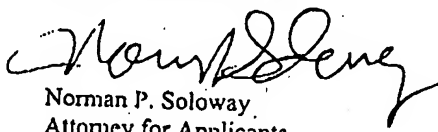
**REQUEST FOR CORRECTION OF**  
**NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**  
**VIA TELEFAX**

Dear Sir:

Enclosed is a copy of the Notice of Recordation of Assignment Document in which we have marked in red the correction for a clerical error contained therein, i.e., Assignee's address "POST OFFICE BOX 1794 GT, GRAND CAYMEN, CANADA, BWI" should be -- POST OFFICE BOX 1794 GT, GRAND CAYMAN, CAYMAN ISLANDS, BWI --. Also enclosed are copies of the Assignment and Recordation Form Cover Sheet.

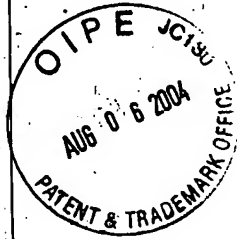
We respectfully request that you correct your records and send a corrected Notice of Recordation. We believe there are no fees involved with this correction. However, in the event any fees are payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,

  
Norman P. Soloway  
Attorney for Applicants  
Reg. No. 24,315

HAYES, SOLOWAY,  
KENNESSEY, GROSSMAN  
& HAGE, P.C.  
175 CANAL STREET  
MANCHESTER, NH  
03101-2335 U.S.A.  
603-669-1400

\*\*\*\*\*  
\*\*\* ACTIVITY REPORT \*\*\*  
\*\*\*\*\*



RECEPTION OK

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2556

CONNECTION TEL

6036680104

CONNECTION ID

START TIME

07/27 11:12

USAGE TIME

02'40

PAGES

8

RESULT

OK



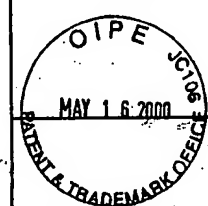
GP 2752 BEM

<b>TRANSMITTAL LETTER</b> (General - Patent Pending)	Docket No. 02 MICRO 98.01
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In Re Application Of: CHAN ET AL.	#8
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Serial No. 09/136,207	Filing Date AUGUST 19, 1998	Examiner DINH	Group Art Unit 2752
--------------------------	--------------------------------	------------------	------------------------

Title: LOW POWER CD-ROM PLAYER FOR PORTABLE COMPUTERS
---



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

1. POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST AND REVOCATION OF PRIOR POWERS
2. STATEMENT UNDER 37 CFR 3.73(b)

RECEIVED  
MAY 18 2000  
TC 2100 MAIL ROOM

In the above identified application.

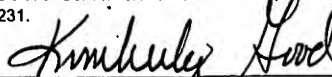
- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 08-1391 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: May 8, 2000

Edmund Paul Pfleger, Esq. Reg. No. 41,252  
Hayes, Soloway, Hennessey, Grossman & Hage, P.C..  
130 W. Cushing Street  
Tucson, Arizona 85701  
(520) 882-7623 (TEL)  
(520) 882-7643 (FAX)


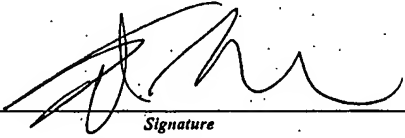
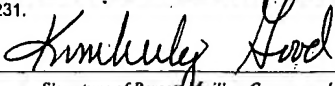
I certify that this document and fee is being deposited on May 8, 2000 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

KIMBERLY GOOD



TRANSMITTAL LETTER (General - Patent Pending)			Docket No. 02 MICRO 98.01
In Re Application Of: CHAN ET AL.			
Serial No. 09/136,207	Filing Date AUGUST 19, 1998	Examiner DINH	Group Art Unit 2752
Title: LOW POWER CD-ROM PLAYER FOR PORTABLE COMPUTERS			
<div><div>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</div><div>RECEIVED MAY 18 2000 1C 2100 MAIL ROOM</div></div>			
Transmitted herewith is:			
1. POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST AND REVOCATION OF PRIOR POWERS			
2. STATEMENT UNDER 37 CFR 3.73(b)			
In the above identified application.			
<input checked="" type="checkbox"/> No additional fee is required.			
<input type="checkbox"/> A check in the amount of _____ is attached.			
<input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 08-1391 as described below. A duplicate copy of this sheet is enclosed.			
<input type="checkbox"/> Charge the amount of _____			
<input type="checkbox"/> Credit any overpayment.			
<input checked="" type="checkbox"/> Charge any additional fee required.			
 Signature		Dated: May 8, 2000	
Edmund Paul Pfleger, Esq. Reg. No. 41,252 Hayes, Soloway, Hennessey, Grossman & Hage, P.C.. 130 W. Cushing Street Tucson, Arizona 85701 (520) 882-7623 (TEL) (520) 882-7643 (FAX)			
<div>I certify that this document and fee is being deposited on May 8, 2000 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.  Signature of Person Mailing Correspondence KIMBERLY GOOD</div>			



PTO/SB/96 (2-98)

Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant: CHAN ET AL.Application No.: 09/136,207 Filed: AUGUST 19, 1998Entitled: LOW POWER CD-ROM PLAYER FOR PORTABLE COMPUTERSO2 MICRO INTERNATIONAL LTD., a CORPORATION

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

In the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 9402, Frame 0221, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

May 4, 2000

Date

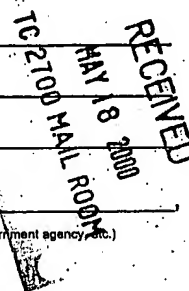


Signature

STERLING DU  
Typed or printed name

PRESIDENT

Title





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Chan et al.  
Serial No: 09/136,207      Group No: 2752  
Filed: August 19, 1998      Examiner: Dinh  
For: LOW POWER CD-ROM PLAYER FOR PORTABLE COMPUTERS  
Attorney Docket No.: O2 MICRO 98.01

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Assistant Commissioner of Patents  
Washington, D.C. 20231

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST  
AND REVOCATION OF PRIOR POWERS**

As assignee of record of the entire interest of the above-identified application, all powers of attorney previously given are hereby revoked and the following attorneys are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith:

HAYES, SOLOWAY, HENNESSEY, GROSSMAN & HAGE, P.C., a firm composed of Oliver W. Hayes, Reg. No. 15,867; Norman P. Soloway, Reg. No. 24,315; William O. Hennessey, Reg. No. 32,032; Susan H. Hage, Reg. No. 29,646; and Steven J. Grossman, Reg. No. 35,001 of 175 Canal Street, Manchester, New Hampshire 03101 (Telephone: 603-668-1400); and Edmund Paul Pfleger, Reg. No. 41,252; and Dale F. Regelman, Reg. No. 45,625 of 130 W. Cushing Street, Tucson, Arizona 85701 (Telephone: 520-882-7623) or any of them.

Please direct all future correspondence in connection with this application to the attention of **Edmund Paul Pfleger**, HAYES, SOLOWAY, HENNESSEY, GROSSMAN & HAGE, P.C., 130 W. Cushing Street, Tucson, Arizona 85701 (Telephone: 520-882-7623). Please direct telephone calls to Edmund Paul Pfleger.

O2 Micro International Ltd.  
(Type or print identity of assignee of entire interest)

P.O. Box 1794 GT  
Address  
Grand Cayman, Cayman Islands, B.W.I.

☒ Recorded in U.S. Patent Office on August 19, 1998 Reel 9402 Frame 0221  
Attached to this power is a "CERTIFICATE UNDER 37 CFR 3.73(b)."

☐ Recorded herewith

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

May 4, 2000



#9 2752  
~~SP~~  
SP

May-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Regina Chan, et al  
Serial no.: 09/136,207  
Filed : August 19, 1998  
For : LOW POWER CD-ROM PLAYER  
FOR PORTABLE COMPUTERS  
Art Unit : 2752



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Docket No. 21  
TC 2700 MAIL ROOM

Examiner: Tan Dinh

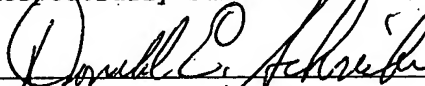
Commissioner of Patents  
Washington, D.C. 20231

TRANSMITTAL OF ATTORNEY'S WITHDRAWAL

Enclosed herewith is a "Consent to Withdrawal of Attorney" executed by the assignee of the patent application identified above as established by the accompanying "Certificate Under 37 C.F.R. § 3.73(b)".

Match & Return

Respectfully submitted

  
Donald E. Schreiber  
Reg. no. 29,435

Dated: June 3, 2000

Donald E. Schreiber  
A Professional Corporation  
Post Office Box 64150  
Sunnyvale, CA 94088-4150

Telephone: (408) 541-9168



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### CERTIFICATE UNDER 37 CFR 3.73(b)

Applicant: Reginia Chan, et al.

Application No.: 09/136,207

Filed: August 19, 1998

Entitled: LOW POWER CD-ROM PLAYER FOR PORTABLE COMPUTERS

O2 Micro International Ltd.

(Name of Assignee)

Cayman Island Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

In the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 9402, Frame 0221, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

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- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

5-23-2000  
Date

[Signature]  
Signature  
Perry Kuo  
Typed or printed name

General Manager

Title

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UNITED STATES DEPARTMENT OF COMMERCE  
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DECEMBER 04, 1998

DONALD E. SCHREIBER, ESQ.  
A PROFESSIONAL CORPORATION  
POST OFFICE BOX 64150  
SUNNYVALE, CA 94089-1323

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SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/19/1998

REEL/FRAME: 9402/0221  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHAN, REGINIA

DOC DATE: 08/18/1998

ASSIGNOR:

DU, STERLING

DOC DATE: 08/18/1998

ASSIGNOR:

LAM, JAMES

DOC DATE: 08/18/1998

ASSIGNOR:

REYNOSO, AARON

DOC DATE: 08/18/1998

ASSIGNEE:

02 MICRO INTERNATIONAL LTD.  
POST OFFICE BOX 1794 GT  
GRAND CAYMEN, CANADA BWI

SERIAL NUMBER: 09136207

FILING DATE: 08/19/1998

PATENT NUMBER:

ISSUE DATE:



DECEMBER 04, 1998

DONALD E. SCHREIBER, ESQ.  
A PROFESSIONAL CORPORATION  
POST OFFICE BOX 64150  
SUNNYVALE, CA 94089-1323

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PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK O  
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HW  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/19/1998

REEL/FRAME: 9402/0221  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS)

ASSIGNOR:  
CHAN, REGINIA

DOC DATE: 08/18/1998

ASSIGNOR:  
DU, STERLING

DOC DATE: 08/18/1998

ASSIGNOR:  
LAM, JAMES

DOC DATE: 08/18/1998

ASSIGNOR:  
REYNOSO, AARON

DOC DATE: 08/18/1998

ASSIGNEE:  
02 MICRO INTERNATIONAL LTD.  
POST OFFICE BOX 1794 GT  
GRAND CAYMEN, CANADA BWI

SERIAL NUMBER: 09136207  
PATENT NUMBER:

FILING DATE: 08/19/1998  
ISSUE DATE:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Reginia Chan, et al.  
Serial no.: 09/136,207  
Filed : August 19, 1998  
For : LOW POWER CD-ROM PLAYER  
FOR PORTABLE COMPUTERS  
Art Unit : 2752



Docket no. 2117

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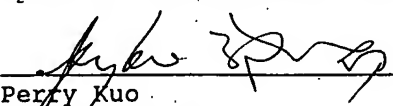
Examiner: Tan Dinh

Commissioner of Patents  
Washington, D.C. 20231

CONSENT TO WITHDRAWAL  
OF ATTORNEY

As assignee of the entire right title and interest in and to the patent application identified above as established by an assignment thereof that is recorded with the United States Patent and Trademark Office ("USPTO") at Reel/Frame 9402/0221, and as further established by an accompanying Certificate Under 37 C.F.R. § 3.73(b), O<sub>2</sub> Micro International Ltd. hereby consents to the withdrawal of Donald E. Schreiber, Reg. no. 29,435, as its attorney for the prosecution of the patent application identified above.

Respectfully submitted,  
O<sub>2</sub> Micro International Ltd.

  
Perry Kuo  
General Manager  
O<sub>2</sub> Micro International Ltd.

Dated: 5-23, 2000

A S S I G N M E N T

We, Reginia Chan, Sterling Du, James Lam and Aaron Reynoso, whose citizenships, residences, and post office addresses are as stated below beneath our names, for One Dollar (\$1.00) in hand paid to us and for other good and valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to O, Micro International Ltd., a Cayman Island corporation having an office located at P.O. Box 1794 GT, Grand Cayman, Cayman Islands, B.W.I. (hereinafter referred to as Assignee), its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by us and disclosed in the application for Letters Patent of the United States entitled:

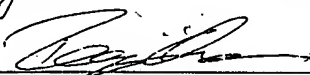
**LOW POWER CD-ROM PLAYER  
FOR PORTABLE COMPUTERS**

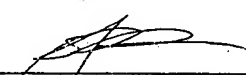
for which for which a United States Patent Application is filed herewith; and in and to any and all divisions, reissues, in and to all continuations and extensions thereof; and in and to all Letters Patent and all Convention and Treaty Rights of all kinds, in all countries throughout the world, for such subject matter.


We hereby authorize and request that Patent Office Officials in the United States and any and all foreign countries issue any and all of the Letters Patent, when granted, to the Assignee, as the assignee of our entire right, title and interest in and to the same, for the sole use and enjoyment of said Assignee, its successors and assigns.

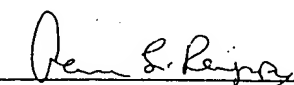
Further, we agree that we will communicate to said Assignee, or its representatives, any facts known to us respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisions, continuations, substitution, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said Assignee, make all rightful oaths and generally do everything necessary or desirable to aid said Assignee, its successors and assigns, in obtaining and enforcing proper protection for said invention in the United States and in any and all foreign countries.

In Testimony Whereof, we have hereunto set our hands this August day of 1986, 1998.

  
Reginia Chan  
Residence: United States  
of America  
Citizenship: United States  
of America  
Residence Address:  
5127 Shalimar Circle  
Fremont, California 94555

  
Sterling Du  
Residence: United States  
of America  
Citizenship: United States  
of America  
Residence Address:  
895 Llima Court  
Palo Alto, California  
94306

  
James Lam  
Residence: United States  
of America  
Citizenship: China  
Residence Address:  
34760 Swain Common  
Fremont, California 94555

  
Aaron Reynoso  
Residence: United States  
of America  
Citizenship: United States  
of America  
Residence Address:  
2761 Glauser Drive  
San Jose, California



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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/136,207	08/19/1998	REGINIA CHAN	2117

EDMUND PAUL PFLEGER  
HAYES, SOLOWAY, HENNESSEY, GROSSMAN & HAGE, P.C.  
130 W.CUSHING STREET  
TUCSON, AZ 85701



\*OC000000005470927\*

Date Mailed: 10/13/2000

**NOTICE REGARDING POWER OF ATTORNEY**

This is in response to the Power of Attorney filed 05/16/2000.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.



Page 1 of 1



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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/136,207	08/19/1998	REGINIA CHAN	2117

DONALD E. SCHREIBER  
P.O. BOX 64150  
SUNNYVALE, CA 940884150



Date Mailed: 10/13/2000

NOTICE REGARDING POWER OF ATTORNEY

This is in response to the Power of Attorney filed 05/16/2000.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.



UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office  
Washington, D.C. 20231  
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Paper No. 10

EDMUND PAUL PFLEGER  
HAYES, SOLOWAY, HENNESSEY,  
GROSSMAN & HAGE, P.C.  
130 W. CUSHING STREET  
TUCSON, AZ 85701

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**OCT 16 2000**

**OFFICE OF PETITIONS  
A/C PETITIONS**

**ON PETITION**

In re: Application of  
Reginia Chan et al.  
Application No. 09/136,207  
Filed: August 19, 1998  
Attorney Docket No. 2117

This is a decision on the petition filed July 27, 2000, under 37 CFR 1.183, to waive the rules of 37 CFR 3.81(a), to correct assignment data on Form PTOL-85(b).

The petition is **GRANTED**.

Assignment data printed on the patent is based solely on the information supplied in item 3 of the Issue Fee Transmittal Form PTOL-85B. The Issue Fee Transmittal Form (PTOL-85B) submitted July 14, 2000 provided incorrect Assignment data. Specifically, the address of the assignee was incorrectly recorded by petitioners. While the Notice of Recordation of Assignment mailed December 4, 1998 provided an incorrect address for the assignee, that error occasioned by the USPTO bears no responsibility for petitioners having recited the incorrect information on the PTOL-85B.

At the time the issue fee was paid, the assignment information was incorrect and therefore, \$130.00, the fee for a petition under 37 CFR 1.183, to waive the rules of 37 CFR 3.81(a), to correct assignment data on Form PTOL-85(b), will be charged to deposit account no. 08-1391.

The assignment data provided July 27, 2000 in the supplemental Issue Fee Transmittal Form (paper # 6), will be used to correct the data provided July 14, 2000 and will be corrected to read as follows:

O2 Micro International Ltd.  
P.O. Box 1794 GT  
Grand Cayman, Cayman Islands, B.W. I.

This file is being forwarded to the Assignment Branch ~~to update the assignment data and then to Technology Center 2600 for consideration of the Petition under 37 CFR 1.97(d) filed May 30, 2000.~~

Telephone inquiries concerning this matter may be directed to the undersigned  
Petitions Attorney at (703) 305-4497.

Patricia Faison-Ball  
Petitions Attorney  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination and Policy

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